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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets necessary)				COMPLETE IF KNOWN		
				Application Number	09/845,685	
SHEET		1	OF	6	Docket Number	LIGHT1920

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
<i>[Handwritten]</i>	1	4,618,210		Kondo	10-21-1986	
<i>[Handwritten]</i>	2	4,747,654		Yi-Yan	03-31-1988	
<i>[Handwritten]</i>	3	4,813,757		Sakano et al.	03-21-1989	
<i>[Handwritten]</i>	4	4,846,542		Okayama	07-11-1989	
<i>[Handwritten]</i>	5	5,002,350		Dragone	03-26-1991	
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		Office ³	Number ⁴	Kind Code ⁵ (If known)				
	18	EPO	0647861A1		AT&T Corp.	12.04.1995		
	19	EPO	0985942A2		Lucent Technologies, Inc.	15.03.2000		
	20	Japan	2-179621		Oki Electric Ind. Co. Ltd.	12.7.1990		
	21	Japan	6-186598		Hitachi Ltd.	8.7.1994		
	22	Japan	63-197923		NEC Corp.	16.8.1988		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
<i>[Handwritten]</i>	23	ABE, et al., Optical Path Length Trimming Technique using Thin Film Heaters for Silica-Based Waveguides on Si, Electronics Letters, September 12, 1996, Vol. 32-No. 19, pp. 1818-1820.	
<i>[Handwritten]</i>	24	ALBERT, J., Planar Fresnel Lens Photoimprinted in a Germanium-Doped Silica Optical Waveguide, Optics Letters, May 15, 1995, Vol. 20-No. 10, pp 1136-1138	
<i>[Handwritten]</i>	25	AMAN, M.C., Calculation of Metal-Clad Ridge-Waveguide (MCRW) Laser Modes by Mode Coupling Technique, Journal of Lightwave Technology, VOL LT-4, No.6, June 1986, pg 689-693	

Examiner Signature	<i>[Handwritten Signature]</i>	Date Considered	5/20/02
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




















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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	26	AMANN, M.C. et al, <i>Calculation Of The Effective Refractive-Index Step For The Metal-Cladded-Ridge-Waveguide Laser</i> , Applied Optics, VOL 20, No.8, Apr 15 1981, pg 1483-1486	
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	36	CHEN, R.T. et al., <i>Design and Manufacturing of WDM Devices</i> ; Proceedings of SPIE VOL 3234	
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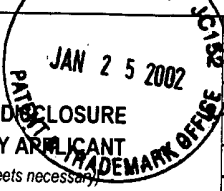
Examiner Signature	<i>Kristy L. Smith</i>	Date Considered	5/20/02
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets necessary)		Application Number		09/845,685	
		Filing Date		04/30/01	
		First Named Inventor		LIN	
		Group Art Unit		2872	
		Examiner Name		Unknown	
SHEET	3	OF	6	Docket Number	LIGHT1920

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
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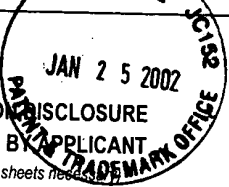
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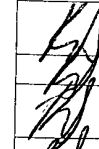
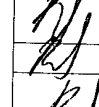
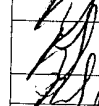
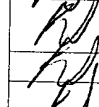
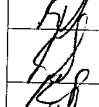
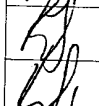
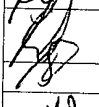
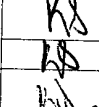
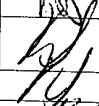

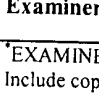
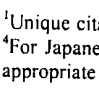
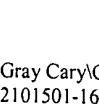

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		First Named Inventor	LIN		
		Group Art Unit	2872		
		Examiner Name	Unknown		
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets necessary)		COMPLETE IF KNOWN	
		Application Number	09/845,685
		Filing Date	04/30/01
		First Named Inventor	LIN
		Group Art Unit	2872
		Examiner Name	Unknown
		Docket Number	LIGHT1920
SHEET	5	OF	6

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SHEET	6	OF	6		

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Examiner Signature	<i>Kaylene Smith</i>	Date Considered	5/21/02
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